



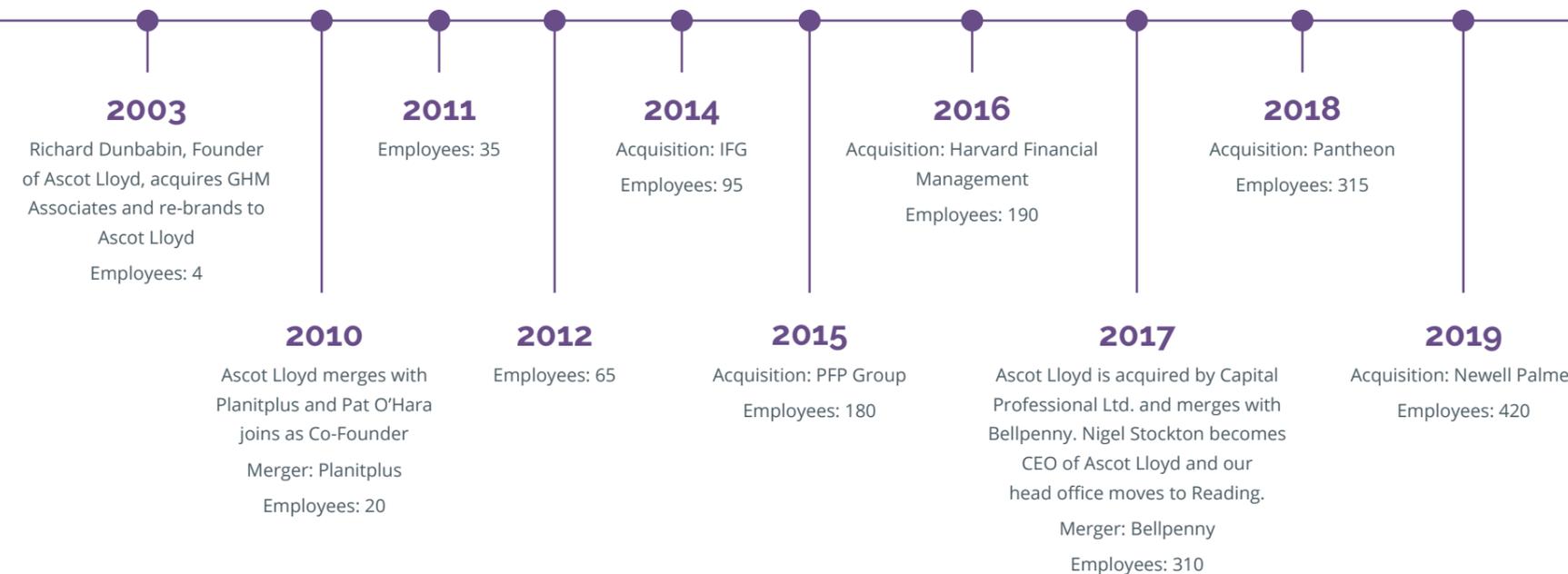
Join our team

Harnessing the talent of aspirational individuals

# About US

We are one of the UK's leading national firms of independent financial advisers and we help people to achieve their financial goals. To do this, our trusted and experienced independent financial advisers provide financial planning and advice focussed on our clients' short, medium and long term objectives.

We provide independent financial advice to individuals from telephone based to a fully bespoke offering. Our corporate team provide employee benefits to over 400 organisations.



**12,500+** clients

**£7.3b** funds under management

**400+** employees

**95+** financial planners

These figures are correct as at 18<sup>th</sup> March 2019.



# Our business

**Our vision:** to be the UK's IFA of choice

**Our purpose:** to help people achieve their financial goals

## **Trusted**

We are dedicated to building tailored lasting relationships with our clients and their wider families. We pride ourselves on independence to deliver unbiased solutions. We respect everyone we work with and have confidence in our colleagues' capabilities.

## **Credible**

We are committed to building a sustainable business that preserves the wealth of our clients. We do not make assumptions and take time to listen to the needs of our clients. We are open and honest with each other and work together as we honour our commitments and deliver what we say.

## **Excellent**

We aim to exceed the expectations of everyone we work with and challenge ourselves to flawlessly deliver the highest quality service.

## **Knowledgeable**

We pride ourselves on our commitment to support career development for all our employees.

We welcome feedback and use this valuable insight to help shape future business decisions.

Ascot Lloyd is one of the fastest growing national IFA firms in the UK and as such, it is a very exciting time to be part of our team.

We are a dynamic and progressive company that focuses on the importance of financial planning, not just financial advice. Ensuring our clients can be confident in the advice we provide is essential and we never take for granted the privileged position this puts us in.

Our vision is to be the UK's IFA of choice. We are committed to building lasting relationships by providing exemplary service at all levels in the organisation. As well as a strong acquisition history, we also focus on organic growth to build our business.

We are committed to enabling our clients to achieve their financial goals. We do this by providing our clients with the best tools,

mobile applications, investment options and regular valuations on how their investments are performing. Our operations team are oriented around supporting our advice processes and we have invested in making sure that everything is turned around quickly and efficiently.

As with all financial planning firms, we are highly regulated by the Financial Conduct Authority (FCA), and we are committed to providing a service that meets and far exceeds our regulators' needs. We pride ourselves on the high integrity and honesty of our staff to ensure we can achieve this and we always aim to give our clients the best possible outcome.

However, we can only achieve our vision by being totally committed to our employees. Just as we do with clients, we also believe in building lasting relationships with our employees and invest in our talent to support career progression. As a national firm we have opportunities across the country which enables our employees to work flexibly.

**Nigel Stockton**, Chief Executive



# Our people



“ At Ascot Lloyd, we make it a priority to treat our people well, help them develop and give them a rewarding work life.

To us this means creating an environment where employees can excel in their performance, develop skills for improvement, achieve their career goals, and trust and respect one another.

By providing training and development programmes, on the job learning, coaching, and feedback we make sure that everyone who works for us has the resources they need to learn more and build their careers.

We want Ascot Lloyd to be a fun place to work, where people feel inspired and motivated to be the best they can. ”

**Cath McVey**, HR Director

“ I joined Ascot Lloyd in May 2016 as a Client Services Associate.

I joined Ascot Lloyd in May 2016 as a Client Services Associate.

Since that time, I have been fully supported with both external exams and internal promotion. I have now completed the Diploma in Regulated Financial Planning and I have been promoted to Report Writer.

I have thoroughly enjoyed every day working here and look forward to the rest of my career with Ascot Lloyd. ”

**Report Writer**

“ I can honestly say that this is a great place to work.

In my role, I work closely with advisers, operational staff and clients to ensure that we are delivering the best possible advice and service. My primary function is to support advisers, so that they have everything they need on a day to day basis.

As far as joining Ascot Lloyd is concerned if you love the world of wealth management and financial planning, then we are well worth considering. I have always viewed this business as a very grown up environment where you are left to get on with the job you are employed to do, but equally if you need support it is there for you. ”

**Manager**

“ Ascot Lloyd have given me an opportunity of a lifetime.

Through the Adviser Academy I have been given the chance to fulfil my ambition to become a fully qualified Independent Financial Adviser and have a successful career in the financial services industry.

Despite having no previous experience, they have recognised my skill set and potential and put their faith in me to succeed.

I have been fully supported by all levels of the firm and there is always someone available to help if I need it. ”

**Trainee Adviser**



# Our rewards

As part of our commitment to our employees and to support our goal to become an employer of choice, we offer a comprehensive suite of benefits to ensure our ongoing success.

## Employee benefits

- annual bonus programme for all employees
- up to 28 days holiday
- pension
- group income protection
- death in service
- long service awards
- cycle to work
- buy and sell holiday
- private medical – including dental and optical cover
- employee discount scheme with major retailers
- employee assistance scheme – personal and family confidential counselling
- breakfast basket
- childcare vouchers
- season ticket loans

## Professional development

- trainee adviser initiative through our learning and development programme TECK
- high potential programme
- support and funding of professional qualifications from administration to chartered advisers
- management qualifications
- attendance at seminars conferences and workshops
- a dedicated IFA learning and development manager



# Our rewards

“ I joined Ascot Lloyd looking for a company I could work with for the next 30 years.

I looked at many alternatives but this company matched both my ambition and ethics.

I chose to work at Ascot Lloyd because I wanted to be part of a company that was forward thinking and growing - but also a company that is looking to offer fair value and put the client at the centre of everything.

The company is continually evolving and always feels like an exciting and interesting place to work – and I hope I continue to work here for many years to come. ”

**Adviser**

## All of our Ascot Lloyd advisers benefit from:

- state of the art back-office system to manage client records
- full administrative support managed by dedicated line managers
- a national team of paraplanners
- support staff line managed by dedicated managers (rather than the IFA having to line manage their support)
- encouragement for all support staff to study for and sit exams to improve quality
- preferred provider panels to cover the whole of market requirements
- technical team of level four qualified paraplanners with access to independent product, fund and tax lead research tools
- investment committee that reviews the centralised investment proposition including a panel of researched discretionary fund managers and funds
- advice policy committee
- robust support network of training and development and adviser relationship managers
- regular support staff and IFA training
- centralised lead generation and seminar programmes
- the ability to work remotely





**Call** 0345 475 7500

**Visit** [www.ascotlloyd.co.uk](http://www.ascotlloyd.co.uk)

**Email** [info@ascotlloyd.co.uk](mailto:info@ascotlloyd.co.uk)

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